

MEDICINE AND PSYCHO-THERAPY Neurodevelopment and the Neurophysiology of Trauma II: Clinical Work Along the Alarm-Fear-Terror Continuum -by Bruce D. Perry

### INTRODUCTION

This is the second of two articles by Dr. Bruce Perry about emerging medical research into the neurological effects of trauma and their clinical implications for both physicians and psychotherapists. The first article was published in The Advisor, V.6, n.1 (Spring, 1993). References for both parts are published with this article.

This article describes some of the important therapeutic principles which may prove of use to clinicians working with traumatized children. These principles arise from understanding the underlying core pathophysiology and psychology of the acute, immediate and persisting 'alarm' reaction in the developing child (see Part I). The key neurodevelopmental issues discussed are that 1) traumatized children function along an alarm-fearterror continuum involving developmental equivalents of the 'freeze, flight and fight' response, 2) traumatic experiences during development can shift this continuum by altering brain regions involved in the fear response, 3) therapeutic approaches must appreciate that traumatized children are in a persisting fear state and, finally, 4) these therapeutic approaches must be directed at specific brain areas which mediate this alarm-fear-terror continuum.

The following discussions are intended to illuminate, organize and focus clinical work with traumatized children. They are not intended be comprehensive or to exclude other clinical perspectives

#### Future Colloquium Planning Begun

NEWS Future Colloquium Planning Begun; APSAC SWAT Team Swings Into Gear; Board Election Ballot to Be Enclosed in Next Issue –by Theresa Reid

At the time of this writing, all indications are that we will have a sell-out crowd for APSAC's First National Colloquium, to be held in Chicago June 24-26, 1993. If you will be attending the Colloquium, we look forward to seeing you there. If you can't make it this year, we hope you will be able to attend in future years, and will continue to give APSAC's Program Committee your feedback on such crucial issues as program content, location, speakers, and size. APSAC's Second National Colloquium has been scheduled for May 4-7, 1994, at the Hyatt Regency in Cambridge, Massachusetts. The Committee's goal is to schedule all future Colloquia fot one of the first two weekends in May.

Among the questions the Program Committee is currently discussing are these:

• The Colloquium is unique in featuring all-day, intensive seminars instead of 1.5- or 3-hour workshops. Is this the format we want to keep for the annual APSAC Colloquium? The Lingering Fear State: Persistence of the "Freeze, Flight or Fight" Response

1. The sensitized fear response: When a child experiences a traumatic event, the immediate reaction is a primitive and deeply ingrained 'freeze, flight or fight' reaction. This total body response to threat has been described in detail in Part I of this series. During the 'freeze, flight, or fight' response, key areas of the human brain are activated. Following the acute fear response, these parts of the brain will be *reactivated* when the child is exposed to a reminder of the traumatic event. Furthermore, these parts of the brain can be reactivated when the child simply thinks or dreams about the event. In other words, despite being away from threat and the original trauma, these key parts of the child's brain are reactivated again and again as the child re-experiences the trauma.

The 'freeze, flight or fight' response is a primitive adaptive response and is, therefore, mediated in large part by primitive parts of the human brain. The brain stem, midbrain, limbic areas and, to a lesser degree, the cortex are involved in modulating the hypervigilance, startle response, anxiety, mood dysregulation, behavioral impulsivity, and physiological hyper-reactivity seen in the acute post-traumatic syndrome Frequent reactivations of the fear response can result in altered sensitivity of these parts of the

continued on page 14

- Do we want to continue to gear the Colloquium to advanced professionals, and keep it relatively small, or do we want to try to meet the needs of a greater number of APSAC members, and sponsor one of the larger annual conferences in the field?
- What are the topics of most concern to APSAC members now, which need to be addressed at the APSAC Colloquium?
- Should we add a day for field-initiated research presentations?

The Program Committee seeks your input on these questions, and on other aspects of program planning that occur to you. Please write your responses to Linda Williams, PhD, Chair, APSAC Program Committee, University of New Hampshire, Family Research Laboratory, 126 Horton Social Science Center, Durham NH03824. APSAC's Program Committee is determined to design a Colloquium that is responsive to members' concerns.

-Bruce D. Perry continued from page 1

The APSAC Advisor, V.6, n.2, 1993 Page 14

brain: that is, the same emotional and behavioral response can be elicited from a much smaller provocative stimulus. After sensitization, a full-blown fear response maybe evoked with a minor stressor. This, of course, is very often observed in traumatized children.

The same brain areas involved in the acute stress response also mediate motor behavior, affect regulation, anxiety, arousal, sleep, the startle response, cardiovascular and respiratory function and so forth. Sensitization of these systems by repetitive re-experiencing of a traumatic event leads to dysregulation in these various functions. It is not surprising then, that a traumatized child may, over time, exhibit motor hyperactivity, anxiety, mood 'swings', behavioral impulsivity, sleep problems, tachycardia and hypertension, among other dysfunctions (see Part I).

The traumatized child is walking around in a persisting fear state. Everyday stressors which previously may not have elicited any response are now able to elicit an exaggerated reactivity—these children are hyperreactive and overly 'sensitive'. Furthermore, the child will very easily be moved along the alarm/fear continuum—from being mildly anxious to feeling threatened to being terrorized. What we are observing in these children is a set of *maladaptive* emotional, behavioral and cognitive problems which are rooted in the original *adaptive* response to a traumatic event.

2. Freezing and "oppositional-defiant" behaviors: One of the first responses in the initial stages of the alarm reaction initiated by a potential threat is freezing. The adaptive advantage of this is clear. Freezing allows one to hear more clearly and observe more keenly, scanning your environment for a potential threat. In addition, lack of movement makes one harder to 'find' (camouflage, of a kind) and a less likely target for a predator. The psychological equivalent of freezing is indecision or ambivalence.

Each of us has had times when we have too much 'going on': we are swimming in information yet cannot organize it and make a decision. This makes us anxious, and the anxiety makes it harder to think clearly, making it more difficult to organize and decide. "When I have too much to do, I do nothing," is a complaint familiar to many busy people. Typically, we will "freeze." This temporary freezing allows us to slowly begin to process and re-evaluate the available information to us in order to make an appropriate decision. The more anxious we get, the less likely we are to be decisive or make a wise decision.

Children who have been traumatized often use this freezing mechanism when they feel anxious. This is often labeled "oppositional-defiant" behavior. Typically, what will happen is that the child will feel anxious due to an evocative stimulus to which their sensitized fear response is reacting (e.g., a family visit) They are often not aware of the evocative nature of a given event, but what they do perceive is anxiety. At this point, they tend to feel somewhat out of control and will psychologically and often, physically, freeze. When adults around them ask them to comply with some directive, they are 'frozen' and refuse. This forces the adult— a teacher, a parent, a counselor—to give the child another set of directives. Typically, these directives involve more threat. The adult will

TOLL-FREE	800-227-5242	American Association for Protecting Children	800-KIDS-006	National Resource Center on Child Sexual Abuse
HELP:	800-448-3000	Boystown National Hotline	800-231-6946	National Runaway Hotline
	800-I-AM-LOST	Child Find Hotline	800-621-4000	National Runaway Switch-
Nationwide	800-422-4453	Child Help USA		board
	800-999-9999	Covenant House Hotline	800-442-HOPE	National Youth Crisis Hotline
Numbers for	800-221-2681	Family Services of America	800-782-SEEK	Operation Lookout, National
Child Abuse	800-A-WAY-OUI	Hotline for parents consider-	800 401 0252	Center for Missing Youth
-		ing abducting their children	800-421-0353	Parents Anonymous (except in California)
and Neglect	800-272-0012	Kevin Collins' Foundation	800-352-0386	Parents Anonymous (in
•	800-872-5437	for Missing Children Missing Children Help		California)
Services	000-072-0407	Center	800-627-3675	Red Flag/Green Flag Re-
	800-843-5678	National Center for Missing and Exploited Children		sources (sexual abuse preven- tion materials for children and
	800-222-1464	National Child Safety	800-333-1069 To 800-236-1222 Tr Do As 800-HIT-HOME Yo	young women) Tough Love (problem teens)
		Council		Tri-County Council on Domestic Violence and Sexual
	800-222-2000	National Council on Child Abuse		
	800-333-SAFE	National Domestic Violence		Assault
	00-335-3AI-E	Hotline		Youth Crisis Hotline (child abuse, runaways)
	800-999-5599	National Information Center		
		for Children and Youth with		
		Handicaps		

-Bruce D. Perry continued from page 14

say, "If you don't do this, I will .....". The nonverbal and verbal character of this 'threat' make the child feel more anxious, threatened and out of control.

The more anxious children feel, the more quickly they will move from being wary to being threatened and, ultimately, to being terrorized. Typically, as the child feels more threatened and terrorized, the 'freezing' adaptation no longer works and the primitive adaptations to threat are enlisted—moving along the alarm-fear-terror continuum, the child utilizes 'flight or fight' responses.

3. Dissociation: The child's 'flight' reaction: The reaction to threat was coined the 'fight or flight' reaction following clinical research and observa-

Each of us has had times when we have too much "going on": we are swimming in information yet cannot organize it and make a decision. This makes us anxious, and the anxiety makes it harder to think clearly, making it more difficult to organize and decide.... Typically, we will "freeze." This temporary freezing allows us to slowly begin to process and re-evaluate the available information in order to make an appropriate decision .... Children who have been traumatized often use this freezing mechanism when they feel anxious. This is often labeled "oppositionaldefiant" behavior.

tions in adults under threat. The adaptive responses to the threat involved fighting or running away For a child, running away is not a realistic adaptive response in most cases. There are, however, childhood equivalents of running away. The most common childhood equivalent of running away is dissociation. When a newborn infant or a toddler is under threat, rather than using physical means to flee the threat, the infant or child can psychologically disengage. Dissociation mechanisms are well described and commonly observed in young children and adults.

Situations which result in evoking an alarm reaction in children may result in the child using any variety of dissociative techniques. The clinical approach to working with children who dissociate is to try to keep them in the here and now A dissociated child is not capable of utilizing the therapeutic milieu or therapeutic interactions which are taking placehe or she is in a different place. Therefore, it is very important that, when traumatized children are observed "daydreaming," staring off with a glazed look, or seeming to be absent, the therapists, families, teachers and others understand that these children are frequently dissociatingutilizing the psychological equiva-

lent of flight. The pain or anxiety has become so great that they disengage. The therapeutic approach whether individual, group, or milieu, must acknowledge that the child may be using a dissociative adaptation and make every attempt to minimize anxiety-provoking content or techniques which will make the child unavailable for therapeutic work.

If the child continues to feel threatened and dissociative adaptations are not completely successful in reducing the pain or anxiety of this threat, the child will be forced to utilize other mechanisms to minimize the pain.

4. Vocalization, resistance and aggressive behaviors: The child's 'fight' reaction: Children, of course, are not particularly well equipped to fight Children, rather than fighting, have evolved the use of vocalization, i.e., crying, to get an adult caretaker to know that the child is under threat. Crying, therefore, is the developmentally appropriate response to a threat which the child is unable to avoid and which is causing the child to require a possible fight reaction. The child is unable to fight for himself, so the cry should bring attention to the adults to come and defend the child.

Unfortunately, crying only infrequently brings an adult to defend a traumatized child. The child is then forced to utilize the child's fight reaction tantrums. Tantrums, particularly those that have a real regressive feel to them are very typically seen in traumatized children after they have moved along the alarm-fear-terror continuum. When a traumatized child has a tantrum, they are often terrorized. These tantrums often result in physical restraint until the child is able to feel contained, held, calmed and, ultimately, reintegrated Aggressive behaviors, rather than a deteriorated tantrum, may be the fight equivalent for a terrorized child. This if often seen in children who themselves were victims of physical assault or violence.

It is important to distinguish between physically assaultive re-enactment behaviors and a regressed, terrorized psychological disintegration seen in tantrums. One can see the differences in these two classes of behavior: children re-enacting tend to be more integrated, they appear to have some "willful" quality to the aggressive behaviors, and they are often aware of the consequences of their behaviors, although frequently they do not show much remorse. In contrast, terror-related behaviors are regressed, defensive, often appearing purposeless. In many cases, both types of behaviors may be observed, sometimes in the same episode, making it difficult to distinguish between them. It is important, however, to try to understand the difference between aggressive reenactment behaviors (often predatory) and aggressive behaviors related to a child feeling anxious, fighting and afraid. The cornered animal is terrorized and will fight very violently. This very same animal when not terrorized, however, will very infrequently fight. Stalking behavior, in contrast, is practiced, planned, calculated and predatory. Understanding antecedent behavioral and emotional functioning prior to an aggressive or violent act is critical in developing appropriate treatment interventions.

#### Clinical Considerations: Risk and Protective Factors

1. Age and developmental stage: One of the most important clinical considerations in working with traumatized children is recognizing that children of different ages think differently, act differently and

-Bruce D. Perry continued from page 15

have different emotional functioning. Children of different ages will experience a traumatic event in different ways. Frequently, experiences which are traumatic to an adult may not be to a child. On the other hand, experiences that are extremely traumatic to a young child may be perceived by an adult as something that is not that frightening. A child of three or four will experience separation from a parent and family as profoundly traumatic. The traumatic nature of this may be easily observed when looking at the behavior and functioning of the child over time. Initially, of course, there will be lots of crying and weeping, but the child very quickly will 'adapt' and will become withdrawn, quiet, possibly using

Once someone learns how to ride a bicycle the parts of the brain involved in that action are indelibly altered that person will always know how to ride a bicycle. . . . In a similar fashion, children (and adults) who have been traumatized have affective or emotional memories indelibly burned into their brainstem and midbrain: these are alterations in basic physiological functioning, persisting emotional memories related to the original trauma. No matter how much you talk, you will not be able to take those away.

dissociative adaptations, and will be observed by others to be a "normal, good little child." This is observed frequently in young children (three to four years old) removed from parental custody. Adults frequently minimize for the child experiences which they themselves would not have found traumatic, such as a two-week separation from family. Although their adaptations mask their distress from most adults, the children are being traumatized. Our work with children in these situations has demonstrated that traumatized children, even when they are "behaving" and acting like "good kids," will have profound physiological hyperactivity, such as heart rates above 120 even while asleep.

On the other hand, a child who is with his parent when the parent is held up in a parking lot may not be nearly as traumatized as the adult. The three-year-old child may not understand the significance of a gun being held to his parent's head if the context in which the child has seen guns has been in play. The fear that the child feels will more frequently be a reflection of that of the adult rather than gen-

erated by the child's own perception of the event. A two-year-old child in this situation will not be likely to be traumatized, whereas a seven-year-old child who understood that this was a life threatening experience will be very traumatized. Again, the individual experience of the trauma is age dependent.

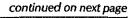
2. Threat to life and limb: One of the most important factors in determining whether or not a traumatic event will be carried forward in a malignant way is the degree to which this event is a threat to the life and limb of the child Children and adults who perceive that they are potentially in a lifethreatening situation will be much more traumatized and much more likely to have long-term sequelae than children who are not.

3. Disruption of social and family supports: Following a traumatic event, the ability of family, friends and community to comfort the child and make sense of the event is directly related to the ability of the child to cope. When the traumatic event disrupts a previous social structure and results in loss of previously utilized social and familial mechanisms for comfort, the event is more likely to be carried forward and have long-term adverse effects.

4. Number, nature and pattern of traumatic events: The number, nature and pattern of the traumatic event all make a difference in whether or not the trauma will be carried forward in a malignant way. The more frequently someone is traumatized, the more likely they are to have symptoms. Children who are chronically physically abused, for example, have much more pervasive and malignant symptoms than children who are traumatized a single time (e.g., a car accident) and are able to return to a supportive emotional and social situation.

Unfortunately, most children victimized by physical or sexual abuse have been experiencing some elements of low level traumatic experience over much of their lives. The acute threat to life and limb may be infrequent, yet these children continue to feel quite threatened at times. These children are affected both by re-experiencing phenomena and through actual new stressful events. Frequent changes in the adult caretakers, mental health professionals, contact with law enforcement and contact with family members may all result in ongoing stress. It is likely that these children, therefore, have some degree of alarm reaction sensitization and are at great risk for developing Post-Traumatic Stress Disorders (PTSD).

5. Early intervention and 'sensitization': There is some evidence to suggest that early intervention including psychoeducational and critical incident debriefing techniques can minimize the sensitization of the alarm reaction and, therefore, to fewer long-term symptoms. This has been one principle guiding the early involvement of the Trauma Assessment Team with traumatized children. We have been carrying out assessment, initial brief treatment and crisis intervention to help acutely traumatized children, hoping to minimize the long term adverse effects of their experiences. Although a variety of critical incident debriefing and early intervention models have been developed for adults, much less work has been done with children. As part of our work with traumatized children, we have developed novel psychoeducational and debriefing techniques and games for traumatized children, and are hoping to minimize the long-term adverse effects of their experiences. Work of our group and others demonstrate the need for, and the potential promise of, these early, aggressive therapeutic activities with traumatized children Unfortunately,



1

### Medicine & Psychotherapy –Bruce D. Perry continued from page 16

the majority of traumatized children experience ongoing and persisting traumatic life events.

6. Loss of control: A key element in making someone feel more comfortable and safe is giving them a sense of control. One of the major clinical observations in traumatized children is that they feel much more vulnerable and much more anxious when

Children, of course, are not particularly well equipped to fight. Children, rather than fighting, have evolved the use of vocalization, i.e., crying, to get an adult caretaker to know that the child is under threat. . . . Unfortunately, crying only infrequently brings an adult to defend a traumatized child. they don't feel in control. A major therapeutic guideline for working with traumatized children, then, is to help them understand that they do have control over many things and help them learn how to transform and alter their sense of being victimized and helpless. This is an ambitious but useful goal.

When traumatized children feel that they are not in control, they will very predictably exhibit signs and symptoms of the sensitized fear response. That is, again, they will start by psychologically freezing—which can return a sense of control to them. They have control over what they do even if it is to do nothing. If the adults around them are not able to give them some sense of control, the

children get more anxious, oppositional, fearful, and ultimately terrorized. This can easily escalate into the primitive, regressed tantrum state described above. It is extremely important in the early interventions with these children that they are given choices. The adult can give them choices which are equally acceptable for the adult, and which are framed in such

When traumatized children feel that they are not in control, they will very predictably exhibit signs and symptoms of the sensitized fear response. That is, again, they will start by psychologically freezing which can return a sense of control to them. They have control over what they do even if it is to do nothing. a way as to make the children understand that they are in control and making the choice

#### **Specific Clinical Interventions**

1. Re-conceptualizing psychotherapies as being 'brain-region directed': The overriding clinical principle in working with traumatized children is understanding what part of the brain is mediating and generating the emotional and behavioral symptoms. The stress response is a primitive ingrained part of the human central nervous system The cortex, where we think, is obviously involved but the key parts of the central nervous system involved in post-traumatic stress disorders are the primitive brain stem and the midbrain. These brain areas mediate the

physiological, hyper-reactivity, hypervigilance, anxiety, emotional, lability, behavioral impulsivity and sleep problems of PTSD.

No matter how much you talk to someone, the words will not easily get translated into changes in

the midbrain or the brainstem. Once someone learns how to ride a bicycle the parts of the brain involved in that action are indelibly altered—that person will always know how to ride a bicycle. No matter how often someone talks to you (cortical activity), the parts of the brain involved in motor memory will not change. No amount of talking can unlearn and change the part of the brain that is controlling the simple motor memories involved in riding a bicycle. You may learn to not get on bicycles or if you do get on bicycles to not put your feet on the pedals, but you cannot unlearn the motor behavior.

In a similar fashion, children (and adults) who have been traumatized have affective or emotional memories indelibly burned into their brainstem and midbrain: these are alterations in basic physiological functioning, persisting emotional memories related to the original trauma. No matter how much you talk, you will not be able to take those away. You may teach someone to understand what has happened to them, you can help them learn to avoid situations that might evoke that trauma, but you cannot take away the fact that part of their brain (and mind) has been altered.

Simply using cognitive and verbal interventions will not alter the parts of the brain mediating PTSD symptoms. What is needed to change those portions of the brain is interventions and therapeutic modalities which affect and alter the activities of those parts of the central nervous system

The major way to affect those primitive parts of the brain is to provide predictability, nurturance, support, and cognitive or insight-oriented interventions which make a child feel safe, comfortable and loved. As noted in all psychotherapies, the mutative or changing element of the therapy is the 'relationship' (i.e., the affective elements) not the 'words' of the therapy. Therapists familiar with conceptualizations of transference will recognize this conceptualization. The less anxious a child feels, the more likely you are able to have access to replacing and re-routing painful affective memories.

2. Early intervention and crisis management: The key to minimizing the sensitizing potential of an experience is early intervention. Early interventions should focus on providing stability, predictability, and information. Children in the midst of a crisis are very often confused and bewildered with little idea about what is going to happen next. It is critically important that mental health professionals and other caretakers working with children in the midst of an acute crisis provide information for the children which is age appropriate, and helps the children develop some sort of cognitive understanding of what has happened and what will happen next. Withholding information or attempting "smooth over" what has happened to a child will not help. Children are extremely sensitive to nonverbal cues. Rather than let the child's mind build the experience into something more terrorizing, provide simple, clear and factual information to help the

-Bruce D. Perry continued from page 17

child build more realistic mental images of the event

3. Milieu therapy: A key to all therapeutic approaches which are focused on minimizing stress or trauma is, of course, providing a stable, predictable and nurturing milieu. Whether this is in the context of a hospital, residential or family setting, the child needs to have a predictable schedule. The schedule needs to include a variety of activities, some of which are quiet and contained and others which allow the children to have control over their own activities. This includes free play with games, art materials, and so forth.

4. Psychoeducational and cognitive interventions: Children need to have factual information about what they have experienced and about the way the mind and the body respond to a trauma. Therefore, psychoeducational and cognitive interventions are very important and useful. It is not helpful to hide information from children, nor is it helpful to gloss over traumatic events. The ability of the adults to adequately identify and cope with their own emotions regarding the traumatic event is critical. The adults who work with these children need to be able to tolerate the intense emotional nature of the acute traumatic situation.

Whether individual psychotherapy involves a therapist with a dynamic, cognitive or behavioral approach or any combination thereof, the key element will be the relationship. It is the relationship which will allow access to parts of the brain involved in social affiliation, attachment, arousal, affect, anxiety regulation and physiological hyper-reactivity. Therefore, the element of therapy which induces positive change will be the ability to reexperience events in the context of this reparative, psychologicallyinformed relationship.

5. Family psychotherapy: Family psychotherapies are important in working with traumatized children. This is particularly important when the family constellation has been affected by the traumatic event. In cases of familial abuse, family members are variably available for therapeutic work. In our experience, unfortunately, we often have little or no family participation In other cases, we have extremely complex transgenerational issues related to power, sexuality and atypical 'boundaries' which must be addressed for any long term healing to take place. Family psychotherapy can be helpful for children as they reorganize and restructure their sense of relatedness.

6. Individual psychotherapy: Individual psychotherapy will be important for all of these children. The key to the individual psychotherapy is, of course, the ability to have a special relationship with an adult who has the capability of being nurturing, supportive, and predictable. These qualities in the relationship are required before children can re-experience elements of their traumatic experience in a safe and reparative fashion.

A key to individual psychotherapy with traumatized children is an initial neutral, nonintrusive stand. These children do not need to have any therapist remind them of, or search for, "material." Due to the profound nature of their experience, traumatized children will be bubbling over with re-experiencing material in their therapies. Whether individual psychotherapy involves a therapist with a dynamic, cognitive or behavioral approach or any combination thereof, the key element will be the relationship. It is the relationship which will allow access to parts of the brain involved in social affiliation, attachment, arousal, affect, anxiety regulation and physiological hyper-reactivity. Therefore, the element of therapy which induces positive change will be the ability to re-experience events in context of this reparative, psychologically-informed relationship.

7. Group therapy: Group psychotherapy for traumatized children can be useful. Chronically abused children tend to be asocialized, very frequently exhibiting difficulties with socialization and peer-relations. Groups focused on specific developmental tasks or social skills can be very useful. In addition, groups with children similarly traumatized children can be an excellent forum for psychoeducational approaches.

8. Pharmacotherapy: It is highly probable that many traumatized children will have persisting symptoms which require adjunctive pharmacotherapy. Useful medications include: clonidine, tricyclic or atypical anti-depressants and sometimes benzodiazepines. These medications help buffer the dysregulation and sensitization seen in the brain stem and midbrain neurotransmitter systems involved in mediating PTSD symptoms. Adjunctive pharmacotherapy can be very useful, particularly in context of evolving and intrusive individual and milieu treatment.

#### **Devloping a Treatment Plan**

1. Multidisciplinary evaluation: The key to developing appropriate treatment planning is having a good multidisciplinary assessment to provide the baseline from which treatment decisions can arise. Understanding the family, social, psychiatric, psychological and cognitive characteristics of a child is essential to optimal treatment planning. This means that extensive psychological, social, family, psychiatric and developmental evaluations are required. In addition, communication between the various evaluators needs to take place. A single coordinating group or case monitor is very helpful Traumatized children tend to come from very chaotic situations, where they tend to fall between the cracks. Only by having an assigned case monitor can these long-term problems be minimized.

2. Ongoing monitoring of clinical status: Monitoring the ongoing problems that any individual child will have is very important. There should be periodic re-evaluation of the cognitive, emotional, behavioral and physiological state of the child, and a refocusing of the treatment plan originally developed. When new problems arise, specific interventions should be implemented.

-Bruce D. Perry continued from page 18 The key in providing useful longitudinal care for traumatized children is to be proactive rather then reactive. A child's history will frequently predict which sets of problems will re-emerge at what point of their development. Children who have been abused or experienced traumatic losses during childhood will likely have re-emergence of profound anxiety and impulsivity during adolescence. This leaves them at great risk for the developing anxiety, affective, and substance abuse problems. Close monitoring of a child's ongoing progress by well-informed caretakers, family members, teachers, case-workers and therapists can provide the mechanism by which proactive treatment planning can take place.

It is very frequently the case that children will have submerged a set of symptoms, only to have them re-emerge when a new developmental phase begins. Because the developmental tasks of adolescence echo those of childhood, this reemergence is very frequently seen during adolescence. 3. Submergence and re-emergence of clinical problems during development: Developmental plateaus: One important clinical phenomenon that occurs in traumatized children is that symptoms and problems become submerged, altered or even disappear during certain stages of development. During these developmental plateaus, a child's functioning may appear age appropriate and they may no longer need special services. That does not mean, however, that the child should not be monitored in an ongoing fashion.

It is very frequently the case that children will have submerged a set of symptoms, only to have them

re-emerge when a new developmental phase begins. Because the developmental tasks of adolescence echo those of childhood, this reemergence is very frequently seen during adolescence. Many children traumatized as young children seem to make good progress until they become 12 or 13 years old, when symptoms of hypersexuality, aggressive or assaultive behaviors, and impulse and anxiety problems may re-emerge. This underscores the critical element of ongoing monitoring of clinical status regardless of current treatment status.

#### Summary

Each year in the United States over 2 million children are traumatized by physical or sexual abuse or by exposure to domestic or community violence. The relatively small community of professionals working with these children have noted this increasing number of traumatized children with alarm. Available clinical resources are overwhelmed by the increase in numbers and in the severity of the presenting problems plaguing these children. This set of articles has presented one conceptual framework for evaluating and working with traumatized children. The ultimate utility of these conceptualizations can only be demonstrated with time. Basic research and clinical research in this area must increase. As we are able to bring more federal, foundation and individual resources to bear on these problems, I anticipate, and

welcome, the day when reviews of this nature can be based upon data from numerous studies which have addressed the complex problems—ranging from neurobiological to sociocultural—related to the mistreatment of children in our culture.

#### References

- Alter-Reid, K., Gibbs, M.S., Lachenmeyer, J.R., Sigal, J., & Massoth, N.A. (1986). Sexual abuse of children: A review of the empirical findings. Clinical Psychology Review, 6, 249-266.
- Amaro, H., Fried, I.E., Cabral, H., & Zuckerman, B. (1990). Violence during pregnancy and substance use. American Journal of Public Health, 80, 575-579.
- American Psychiatric Association. 1987. Diagnostic and Statistical Manual of Mental Disorders (Third Edition, Revised, DSM III-R). APA Press, Inc., Washington, D.C., pp. 247-251.
- Aston-Jones, G. & Bloom, F.E. (1981). Activity of norepinephrinecontaining locus coeruleus neurons in behaving rats anticipates fluctuations in the sleep-waking cycle. *Journal of Neuroscience*, 1. 876-886.
- Beautris, A.L., Fergusson, D.M., & Shannon, F.I. (1982). Life events and childhood morbidity: A prospective study. *Pediatrics*, 70, 935-940.
- Bishop, D.V M. (1987). The causes of specific language disorders. Journal of Child and Adolescent Psychiatry, 28, 1-8.
- Boyce, W.I & Chesterman, E (1990) Life events, social support, and cardiovascular reactivity in adolescence. Journal of Developmental and Behavioral Pediatrics, 11, 105-111
- Breiet, A., Kelsoe, J.R. & Kirwin, P.D. (1988). Early parental loss and development of adult psychopathology. Archives of General Psychiatry, 45, 987-993.
- Brown, G.W., Harris, I. & Copeland, J.R. (1977). Depression and loss. British Journal of Psychiatry, 130, 1-18.
- Browne, A. & Finkelhor, D. (1986). Impact of child sexual abuse: a review of the literature. *Psychology Bulletin*, 99, 66-77.
- Bury, J S. (1918). Pathology of war neurosis. Lancet, I, 97-99.
- Cannon, W.B. (1914). The emergency function of the adrenal medulla in pain and the major emotions. American Journal of Physiology, 3, 356-372
- Coddington, R.D. (1972a). The significance of life events as etiological factors in the diseases of children I: a survey of professional workers. *Journal of Psychosomatic Research*, 16, 7-18.
- Coddington, R.D. (1972b). The significance of life events as etiological factors in the diseases of children II: a study of the normal population. *Journal of Psychosomatic Research*, 16, 205-213.
- Conte, J.R (1985). The effects of sexual abuse on children: A critique and suggestions for future research. Victimology: An International Journal, 10, (1-4), 110-130.
- DaCosta, J.M. (1871). On irritable heart: A clinical study of a form of functional cardiac disorder and its consequences. American Journal of Medical Science, 61, 17-52.
- Davidson, J R.I., Hughes, D., Blazer, D.G., & George, L.K. (1991) Posttraumatic stress disorder in the community: An epidemiological study. Psychological Medicine, 21, 713-721
- Davis, K. (1940). Extreme isolation of a child American Journal of Sociology, 45, 554-565
- Davis, K. (1946). Final note on a case of extreme isolation. American Journal of Sociology, 52, 432-437.
- Dobbs, D. & Wilson, W.P (1960). Observations on persistence of war neurosis. Disorders of the Nervous System, 21, 40-46.
- Eth, S & Pynoos, R.S. (Eds.) (1985). Post-Traumatic Stress Disorder in Children, Washington, D.C.: American Psychiatric Press.
- Farfel, G.M., Kleven, M.S., Woolverton, W.L., Seiden, L.S. and Perry, B.D. (1992). Effects of repeated injections of cocaine on catecholamine receptor binding sites, dopamine transporter sites and behavior in rhesus monkeys. *Brain Research*, 578, 235-243.

Finkelhor, D. (1984). Child Sexual Abuse. New York: The Free Press.

Fillenz, M (1990) Noradrenergic Neurons, Cambridge: Cambridge Press

Foote, S.I., Bloom, F.E. & Aston-Jones, G. (1983). Nucleus locus coeruleus: new evidence of anatomical and physiological specificity. *Physiology Reviews*, 63, 844-856.

Freedman, D A. (1981). Speech, language and the vocal auditory connection. Psychoanalytic Study of the Child, 36, 105-128

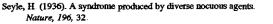
Freedman, D. (1992). Terms of engagement, unpublished paper

-Bruce D. Perry

continued from page 11

- Freedman, D.A. & Brown, S.L. (1968). On the role of coenestetic stimulation in the development of psychic structure *Psychoanahytic Quarterly*, 37, 418-438
- Garmezy, N. (1978). Observations on high-risk research and premorbid development in schizophrenia. In L.C. Wynne, A. Cromwell & S. Matthysse (Eds.). The nature of schizophrenia. New York: Wiley
- Giller, E L., PEITY, B.D., Southwick, S., Yehuda, R., Wahby, V., Kosten, T.R. & Mason, J.W. (1990). Psychoendocrinology of post-traumatic stress disorder. In Post-Traumatic Stress Disorder: Etiology, Phenomenology and Treatment (pp. 158-170). M.E. Wolf & A.D. Mosnaim (Eds.). Washington D.C.; American Psychiatric Press Inc.
- Greenwood, C.L., Tangalos, E.G., & Maruta, I. (1990). Prevalence of sexual abuse, physical abuse, and concurrent traumatic life events in a general medical population. *Mayo Clinic Proceedings*, 65, 1067-1071
- Goelet, P. & Kandel, E.R. (1986). Tracking the flow of learned information from membrane receptors to genome Trends in Neuroscience, 9, 492-99
- Helzer, J., Robins, L., & McEvoy, I. (1987). Post traumatic stress disorder in the general population. New England Journal of Medicine, 317, 1630-1634.
- Herman, J L., Perry J.C., & van der Kolk, B A (1989). Childhood trauma in borderline personality disorder. American Journal of Psychiatry, 146, 490-495.
- Horowitz M.J., Wilner N., Kaltredder N., et al (1980). Signs and symptoms of post-traumatic stress disorder Archives of General Psychiatry, 37, 85-92.
- Jacobson, M (1991). Developmental Neurobiology. New York: Plenum Press.
- Kalivas, P.W. & Duffy, P. (1989). Similar effects of daily cocaine and stress on mesocorticolimbic dopamine neurotransmission in the rat *Biological Psychiatry*, 25, 913-928.
- Kandel E.R. & Schwartz J.H (1982). Molecular biology of an elementary form of learning: modulation of transmitter release by cyclic AMP. Science. 218, 433-443.
- Kaufman, J. (1991). Depressive disorders in maltreated children. Journal of the American Academy of Child and Adolescent Psychiatry, 30(2), 257-265.
- Kleven M S., Perry B D., Woolveron W L., Seiden, L.S (1990). Effects of repeated injections of cocaine on D-1 and D-2 dopamine receptors in rat brain. *Brain Research*, 532: 265-270.
- Korf J.(1976). Locus coeruleus, noradrenaline metabolism, and stress. In E Usdin, R. Kvetnansky, & IJ. Kopin (Eds.), Catecholamines and Stress. New York: Pergamon, 105-111.
- Krystal, J. H., Kosten, I. R., Perry, B. D, Southwick, S., Mason, J. W & Giller, E. L. (1989). Neurobiological Aspects of PTSD: Review of Clinical and Preclinical Studies. *Behavior Therapy*, 20, 177-198
- Kulka, R.A., Schlenger W.E., Fairbank J.A., Hough R.L., Jordan B K., Marmar C R. & Weiss, D S. (1990). Trauma and the Vietnam War Generation. New York, N.Y: Brunner/Mazei.
- Lauder, J.M. (1988). Neurotransmitters as morphogens. Progress in Brain Research, 73, 365-388.
- Lipton, E. I. (1970). A study of the psychological effects of strabismus, Psychoanalytic Study of the Child, 25, 146-174.
- Loewy, A.D. & Spyer, K.M. (Eds.) (1986) Central Regulation of Autonomic Functions. New York: Oxford University Press.
- Lloyd, C. (1980). Life events and depressive disorder reviewed: I. Events as predisposing factors. Archives of General Psychiatry, 37: 529-535.
- Mason, M.K. (1942). Learning to speak after six and a half years of silence, Journal of Speech Disorders, 7, 295-304
- Meaney, M. J., Aitken, D. H., van Berkel, C., Bhatnagar, S., & Saplosky, R. M. (1988). Effect of Neonatal Handling on Age-Related Impairments Associated with the Hippocampus. Science. 239, 766-768
- McLeer S.V., Deblinger E., Atkins M.S., et al. (1988). Post-traumatic stress disorder in sexually abused children. Journal of the American Academy of Child and Adololescent Psychiatry. 27, 650-654.
- Miller J C., Friedhoff A.J. (1988). Neurotransmitter programming of receptor density during development *Progress in Brain Research*, 73, 507-523.
- Moore R Y, Bloom F E. (1979) Central catecholamine neuron systems: anatomy and physiology of the norepinephrine and epinephrine systems. Annual Reviews of Neuroscience, 2, 113-153.
- Murberg M M, McFall M E, Veith R.C. (1990). Catecholamines, stress and posttraumatic stress disorder. In EL. Giller (Ed.)., Biological Assessment and Treatment of Post-traumatic Stress Disorder (pp 27-65). Washington, DC: American Psychiatric Press Inc.

- Ogata S.N., Silk K.R., Goodrich S., et al. (1990). Childhood sexual and physical abuse in adult patients with borderline personality disorder, *American Journal of Psychiatry 147*. 1008-1013.
- Patton, R.G. & Gardner, I. I. (1963). Growth Failure in Maternal Deprivation, Charles Thomas Press, Springfield, IL.
- Perry, B.D. (1988). Placental and blood element neurotransmitter receptor regulation in humans: potential models for studying neurochemical mechanisms underlying behavioral teratology. *Progress in Brain Research*, 73: 189-207.
- Perry, B.D. (In Press). Neurobiological sequelae of childhood trauma: Post-traumatic stress disorders in children. In M. Murberg (Ed.), *Catecholamines in PTSD*, Washington D.C.: American Psychiatric Press.
- Perry, B. D., Southwick, S. M., & Giller, E. L. (1990a). Adrenergic receptors in posttraumatic stress disorder. In E.I. Giller (Ed.), Biological Assessment and Treatment of Post-traumatic Stress Disorder. Washington D C : American Psychiatric Press, 87-115.
- Perry, B.D., Wainwright, M.S., Won, L., Hoffman, W., & Heller, A. (1990b). The influence of dopamine on dopamine receptor density in three dimensional tissue culture. Society for Neuroscience Abstracts 16, 646.
- Peterson, G. (1991). Children coping with trauma: Diagnosis of "dissociation identity disorder." Dissociation, 4(3), 152-164.
- Post, R. M. (1992). Transduction of psychosocial stress into the neurobiology of recurrent affective disorder American Journal of Psychiatry, 149(8), 999-1010.
- Provence, S. (1983). Struggling against deprivation and trauma. Psychoanalytic Study of the Child, 38, 233.
- Putnam, F.W. (1991). Dissociative disorders in children and adolescents: A developmental perspective. *Psychiatric Clinics of North America*, 14, 519-531
- Redmond, D E , & Huang, Y.H. (1979). Locus coeruleus and anxiety. Life Sciences, 25, 2149-2156.
- Rutter, M. (1984). Psychopathology and development: I Childhood antecedents of adult psychiatric disorder. Australian and New Zealand Journal of Psychiatry, 18: 225-34.
- Sapolsky, R. M., Krey, L. C., & McEwen, B. S. (1986). The neuroendocrinology of stress and aging: The glucocoticoid cascade hypothesis. *Endocrine Reviews*, 7 (3), 284-301.



- Spitz, R.A. (1945). Hospitalism: An inquiry into the genesis of psychiatric conditions in early childhood *Psychoanalytic Study of the Child*, 1. 53.
- Spitz, R A, & Wolf, K M. (1946). Anaclitic depression: An inquiry into the genesis of psychiatric conditions in early childhood, II *Psychoanalytic Study of the Child*, 2, 313-42
- Stone, E.A. (1975). Stress and catecholamines. In A J. Friedhoff (Ed.), Catecholamines and Behavior 2: Neuropsychopharmacology (pp. 31-72). New York: Plenum.
- Stone, E. (1988). Stress and brain neurotransmitter receptors. In A.K. Sen & F. Lee (Eds.), *Receptors and Ligands in Psychiatry* (pp. 400-423). New York: Cambridge Univ. Press.
- Suoumi, S.J (1986). Genetic and maternal contributions to individual differences in rhesus monkey biobehavioral development In N Krasnagor (Ed.), Psychobiological Aspects of Behavioral Development (pp. 397-420) New York: Academic Press
- Svensson, I.H. (1987). Peripheral, autonomic regulation of locus coeruleus notadrenergic neurons in brain: Putative implications for psychiatry and psychopharmacology. Psychopharmacology, 92, 1-7
- Ierr, L. (1983). Chowchilla revisited: the effects of psychic trauma four years after a school-bus kidnapping. American Journal of Psychiatry, 140, 1543-1550.
- Terr, I.A. (1991). Childhood traumas: An outline and overview American Journal of Psychiatry, 148, 1-20.
- Waterhouse, B. D., Sessler, F. M., Cheng, J., Woodward, D. J., Azizi, S. A., & Moises, H. C. (1988). New Evidence for a Gating Action of Norepinephrine in Central Neuronal Circuits of Mammalian Brain. Brain Research Bulletin, 21, 425-432.
- Weinstock, M., Fride, E., & Hertzberg, R. (1988). Prenatal stress effects on functional development of the offspring *Progress in Brain Research*, 73, 319-331.

Bruce D. Perry, MD, PhD, is Associate Professor and Vice-Chairman for Research, Psychiatry and Behavioral Sciences at Baylor College of Medicine, Chief of Psychiatry at Texas Children's Hospital, and Director of the PTSD Clinical Research Team at Houston's Veterans Administration Medical Center. Send correspondence to Bruce D. Perry, MD, PhD, Department of Psychiatry, Baylor College of Medicine, One Baylor Plaza, Houston TX 77024.

